

### 更新客戶識別信息表格

身份證明文件上所示的全名		
根據身份識別文件順序的身份證明文件類別 (按證監會訂明的順序)  *備註：如在開戶過程中並未提供符合證監會的身份證明文件排序表規定的身份證明文件，請向我司提供相關身份證明文件的副本。例如，對於持有香港身份證但未曾提供此類文件的個人客戶，該個人客戶需要向我司提供以上客戶識別信息和香港身份證副本。	<u>個人客戶</u> <input type="checkbox"/> (1)香港身份證 <input type="checkbox"/> (2)國民身份證明文件 <input type="checkbox"/> (3)護照  <u>聯名賬戶內的所有客戶</u> <input type="checkbox"/> (1)香港身份證 <input type="checkbox"/> (2)國民身份證明文件 <input type="checkbox"/> (3)護照  <u>公司客戶</u> <input type="checkbox"/> (1)法律實體識別編碼 (LEI) 登記文件 <input type="checkbox"/> (2)公司註冊證明書 <input type="checkbox"/> (3)商業登記證 <input type="checkbox"/> (4)其他同等文件	<u>信託身份</u> <input type="checkbox"/> 受託人為個人身份 (1)香港身份證 (2)國民身份證明文件 (3)護照  <input type="checkbox"/> 受託人為公司身份 (1)法律實體識別編碼 (LEI) 登記文件 (2)公司註冊證明書 (3)商業登記證 (4)其他同等文件
身份證明文件的簽發國家或司法管轄區		
身份證明文件號碼		

本人/吾等/本公司確認以上的信息為真實、準確及最新的，如該等訊息有任何更新，本人/吾等/本公司會儘快通知東吳證券。

客戶簽署：

✍ (如聯名客戶需要全部簽署)

客戶姓名：

戶口號碼：

日期：

### Client Identification Data (CID) update form

Full name as shown on your identity document		
Identity document type (in order of priority)  <i>*Remarks: If the identity document in accordance with the waterfall requirements of the SFC was not provided in the account opening process, please provide us with a copy of the required identity document. For instance, for an individual client who possesses HKID card but such document has not been previously provided, such individual client is required to provide the above CID and a copy of HKID card to us accordingly.</i>	<u>Individual client</u> <input type="checkbox"/> (1) HKID card <input type="checkbox"/> (2) National identification document <input type="checkbox"/> (3) Passport  <u>All clients of a joint account</u> <input type="checkbox"/> (1) HKID card <input type="checkbox"/> (2) National identification document <input type="checkbox"/> (3) Passport  <u>Corporate client</u> <input type="checkbox"/> (1) Legal Entity Identifier ("LEI") registration document <input type="checkbox"/> (2) Certificate of incorporation <input type="checkbox"/> (3) Business registration certificate <input type="checkbox"/> (4) Other equivalent documents	<u>Trust</u> <input type="checkbox"/> Trustee as an individual (1) HKID card (2) National identification document (3) Passport  <input type="checkbox"/> Trustee as a corporate (1) Legal Entity Identifier ("LEI") registration document (2) Certificate of incorporation (3) Business registration certificate (4) Other equivalent documents
Identity document's issuing country jurisdiction		
Identity document number		

I/we hereby confirm that the above information provided is true, accurate and most updated, and I/we shall notify Soochow Securities International Brokerage Limited once in case any of the above information has been updated.

Authorized Signature:

\_\_\_\_\_ ✍ ( All Account holders of joint account must sign jointly )

Client Name:

Account Number:

Date: