

投資產品交收指示 Investment Products Settlement Instruction

帳戶名稱 Account Name : _____

帳戶號碼 Account No. : _____

(Please ✓ the appropriate box 請於合適方格內填上 ✓)

交收類別:

 Type of Transfer : 提取 Deliver To

 存入 Received From

對方參與者名稱: _____

對方參與者號碼: _____

Name of Counterparty CCASS Participant/Bank/Broker :

ID No. of Counterparty CCASS Participant/Bank/Broker :

對方聯絡人名稱: _____

對方聯絡人號碼: _____

Contact Person :

Contact Number :

股票號碼 / 產品號碼 Stock Code / Product Code	股票名稱 / 產品名稱 Name of Stock / Investment products	股數 / 面值 No of Shares / Nominal	交收日期 Settlement Date	Fee 費用 (HKD) For Office Use Only

備註 Remarks

Total Fee :

 SI 交收指示 ISI 投資者交收指示

交收指示目的 Purpose of SI / ISI :

 毋需付款 Free of Payment (FOP)

 C = Broker-Custodian 經紀受托管交收

 貨銀對付 Delivery versus Payment (DVP)

 M= Portfolio Management 股票組合轉移

款項 Amount : _____

 I = Investor Account 投資者帳戶

備註 Remarks

茲授權貴公司依照本人/吾等作出以下經中央結算系統及其他交收系統的交收指示。本人/吾等聲明就上述有關證券之轉移對最終受益人或擁有人之任何權益不會產生任何變動。

This is to authorize your Company to act in accordance with my/our following settlement instruction through CCASS/Euroclear/Clearstream. I/We declare that the transfer of the above securities involve(s) no change in beneficial ownership.

請在本人/吾等之證券帳戶中扣除一切開支。

Please debit the captioned account for any costs or charges so incurred.

* 如欲當天辦理證券交收指示，此表格需於當日上午十一時前交付至清算部。

s.v. ()

*This form for same day settle has to be reached our settlement department before 11:00 am on the settlement day.

客戶簽署 Client Signature

日期 Date :

備註 Remarks

經紀人已知悉上述事項(簽署)

Account Executive Acknowledged (Signature).

日期 Date :

For Office Use Only

Confirmed by (客戶服務部):

Inputted by (清算部):

Checked by (清算部):

Approved by :

投資產品交收指示 202401